LAW OFFICES

BANNER & WITCOFF, LTD.

IOOI G STREET, N.W. WASHINGTON, D.C. 2000I-4597 TELEPHONE (202) 508-9100 FACSIMILE (202) 508-9299 64181 U.S. PTO 08/932543

CHICAGO OFFICE TEN SOUTH WACKER DRIVE CHICAGO, ILLINOIS 60606-7407 TELEPHONE (3)2) 715-1000 FACSIMILE (3)2) 715-1234

BOSTON OFFICE 75 STATE STREET BOSTON, MASSACHUSETTS 02109-1807 TELEPHONE (617) 345-9100 FACSIMILE (617) 345-9111

JOSEPH M. POTENZA

September 17, 1997

Suzuye & Suzuye Kasumigaseki Ube Bldg. 3-7-2 Kasumigaseki Chiyoda-ku, Tokyo 100 JAPAN **VIA FACSIMILE**

Re:

New U.S. Patent Application

Entitled:

ACCESS CONTROL METHOD AND INFORMATION

UTILIZED APPARATUS

Your Ref:

T3TYA-97S0480

Our Ref:

1701.39203

Dear Sirs:

We are pleased to report that the above-identified patent application was filed September 17,1997 with the U.S. Patent and Trademark Office. We will keep you informed of further developments.

Sincerely,

Joseph M. Potenza

JMP/ts





NEW UNITED STATES PATENT APPLICATION

Assistant Commissioner of Patents Washington, D.C. 20231

Attorney Docket No. <u>01701.39203</u>

Sir:

Enclosed herewith for filing is a patent application entitled <u>ACCESS CONTROL METHOD AND INFORMATION UTILIZATION APPARATUS</u> by the following named inventor(s).

Full Name of Inventor	Family Name	First Given Name	Second Given Name
	KAWAKURA	Yasushi	
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Pittsburgh	Pennsylvania	Japan
Post Office Address	Post Office Address	City	State & Zip Code/Country
	c/o Intellectual Property Division KABUSHIKI KAISHA TOSHIBA 1-1 Shibaura 1-chome, Minato-ku	Tokyo 105	Japan
Full Name of	Family Name	First Given Name	Second Given Name
	KIMURA	Tetsuro	
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Tokyo	Japan	Japan
Post Office Address	Post Office Address	City	State & Zip Code/Country
	c/o Intellectual Property Division KABUSHIKI KAISHA TOSHIBA 1-1 Shibaura 1-chome, Minato-ku	Tokyo 105	Japan
Full Name of Inventor	Family Name	First Given Name	Second Given Name
nivenor	SUZUOKA	Takashi	_
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Kawasaki-shi	Japan	Japan
Post Office Address	Post Office Address	City	State & Zip Code/Country
	c/o Intellectual Property Division KABUSHIKI KAISHA TOSHIBA 1-1 Shibaura 1-chome, Minato-ku	Tokyo 105	Japan

- 1. Specification 53 pages / 16 pages claims / 1 page Abstract
- 2. Declaration/Power of Attorney is:
 - attached in the regular manner.
 - NOT included, but deferred under P.L. 97-247.



Atty. Docket No.01701.39203

- 3. 16 Sheets of Formal □ Informal Drawings (Fig 1-18)
- 4. Preliminary Amendment which is to be considered a part of the disclosure as to any change in the executed specification and thus outside any proscription under 37 C.F.R. 1.118.
- 5. Preliminary submission of an Information Disclosure Statement which is to be considered a part of the disclosure as to any change in the executed specification and thus outside any proscription under 37 C.F.R. 1.118.
- 6. An Assignment of the invention in favor of the following organization is enclosed for recordation:

KABUSHIKI KAISHA TOSHIBA

7. Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
Japan	8-246719	18 September 1996

- 8. Priority document(s) is attached hereto.
- 9. A Verified Statement Claiming Small Entity Status is attached hereto.
- 10. Calculation of Fees:

FEES FOR	NUMBER EXTRA	RATE	CALCULATIONS	
Basic Filing Fee	`\$770.00			
Total Claims in Excess of 20	0.00	22.00	0.00	
Independent Claims in Excess of 3	12	80.00	960.00	
Multiple Dependent Claims (if applicable)	0.00	260.00	0.00	
Subtotal - Filing Fee Due			1,730.00	
Reduction by 50%, if Small Entity (Note 37 C.F.R. § 1.9, 1.27, 1.28)		0.00	0.00	
Total Filing Fee Due		· · · · · · · · · · · · · · · · · · ·	1,730.00	
Assignment Recordation Fee (if applicable)	1	40.00	40.00	
GRAND TOTAL DUE			1,770.00	

OShew 34725

- 11. PAYMENT is:
 - included in the amount of the GRAND TOTAL by our enclosed check. Authorization is hereby given to credit or debit our Deposit Account No. 19-0733 for any overpayment or deficiencies associated with the instant filing.
 - not included, but deferred.
- 12. All correspondence for the attached application should be directed to:

Joseph M. Potenza, Esq. Banner & Witcoff, Ltd. 1001 G Street, N.W. Washington, D. C. 20001-4597 Telephone: (202) 508-9100

> Joseph M. Potenza Reg. No. 28,175

Date:	September 17, 1997	

JMP/ts

LAW OFFICES
BANNER & WITCOFF, LTD.
1001 G STREET, N.W.
ELEVENTH FLOOR
WASHINGTON, D.C. 20001-4597
(202) 508-9100